Table of Contents

I. Introduction .......................................................................................................................... 2
II. History of the Graduate Program in Neuroscience .............................................................. 3
III. Requirements for a PhD degree from the University of Minnesota Graduate Program in Neuroscience .......................................................................................................................... 4
IV. Neuroscience Minor Requirements for Students Majoring in Other Fields .............. 17
V. Advisors .................................................................................................................................. 18
VI. Thesis committees / Graduate committee ........................................................................ 18
VII. Individual development plans ........................................................................................... 20
VIII. Fellowships ....................................................................................................................... 21
IX. GPN Governance Committees .......................................................................................... 21
X. Courses ...................................................................................................................................... 25
XI. Graduate School Procedures .............................................................................................. 24
XII. Administrative issues .......................................................................................................... 24
XIII. Individual Developmental Plan form ..............................................................................
I. Introduction

Most of the information that students enrolled in the University of Minnesota Graduate School will need is contained in the Graduate School web site. The information presented in this handbook is a supplement to the Graduate School web site. It also gives students specific information about their appointments to the Neuroscience Program, and to the operational and administrative aspects of the Graduate Program in Neuroscience. For more information about Graduate School requirements and procedures, see the Graduate School home page at www.grad.umn.edu.

The Graduate Program in Neuroscience (GPN) at the University of Minnesota is an interdisciplinary program; its goal is to promote graduate education and research in Neuroscience, leading to the Doctor of Philosophy degree (Ph.D.). The GPN programs for both PhD students and MD/PhD students are covered by this handbook.

Forms, due dates, and details can be found on the Graduate School website at https://grad.umn.edu/admissions

II. History of the Graduate Program in Neuroscience

In the early 1980's, research in various areas of neuroscience was being actively carried out in several dozen laboratories scattered throughout the University of Minnesota. However, at the time, there was little interaction between faculty members except within single departments or specialized areas. At that time about a dozen faculty members from across the university began to seek ways to coordinate and expand interdisciplinary teaching and research in neuroscience. Neuroscience has always been an interdisciplinary science, drawing from physiology, psychiatry, pharmacology, animal behavior, economics, and biology, as well as computational and mathematical sciences. As of 2014, the GPN consisted of a diverse program with 107 faculty spanning 30 different departments. The GPN offers a PhD program in neuroscience that covers the complete range of research from subcellular to whole-organism behavior, and from fundamental research to clinical research, using a diversity of techniques.

Directors of Graduate Studies, Graduate Program in Neuroscience, 1987 to present:

- 1986 - 1987 Dr. Richard Poppele (Directed Neuroscience minor prior to certification for the PhD Program)
- 1987 - 1989: Dr. Robert P. Elde, Dept. of Cell Biology & Neuroanatomy
- 1989 - 1992: Dr. Robert F. Miller, Dept. of Physiology
- 1992 - 1995: Dr. Alice A. Larson, Dept. of Veterinary Pathobiology
- 1995 - 1998: Dr. Timothy J. Ebner, Dept. of Neurosurgery
- 1998 - 1999: Dr. Esam El-Fakahany, Dept. of Psychiatry
- 1999 - 2002: Dr. John F. Soechting, Dept. of Neuroscience
- 2002 - 2005: Dr. William C. Engeland, Dept. of Surgery
III. Requirements for a PhD degree from the University of Minnesota Graduate Program in Neuroscience

Receiving a PhD from the Graduate Program in Neuroscience requires that students make satisfactory progress each semester. Satisfactory progress is defined in the milestones available in the related documents.

A. Milestones to be expected in completion of the PhD degree

- Completion of the Itasca neurobiology course.
- Completion of the four core classes in the first year.
- Completion of the fifth required core class in the second year, Quantitative Neuroscience.
- Completion of three semesters of our Career Skills class.
- Completion of a total of 24 thesis credits.
- Completion of four rotations and selection of an advisor
- Passing the Written Preliminary Examination.
- Writing an oral thesis proposal.
- Passing the Oral Preliminary Examination.
- Serving as a teaching assistant for one class in the second year.
- Giving colloquia annually, starting in the third year for PhD students, second year for MD/PhD students.
- Writing at least one manuscript submitted to a peer-reviewed journal.
- Writing a PhD thesis.
- Passing the final thesis defense.

B. Course Requirements for the Ph.D. degree

The following requirements and procedures are specified for typical students. Certain exceptions can be made with the consent of the advisor and Director of Graduate Studies. See: PhD Checklist for a complete description of the Graduate School requirements from initial registration to graduation.

1. Core Curriculum

First-year students take the Itasca course and then the eight core courses listed below, plus the four required laboratory rotations. The coursework schedule for the first year is as follows:
### Summer Session (Year 1)

<table>
<thead>
<tr>
<th>Course</th>
<th>Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>NSc 5551</td>
<td>Cell &amp; Molecular Neurobiology Lab at Itasca</td>
<td>4 cr</td>
</tr>
</tbody>
</table>

### Fall Semester (Year 1)

<table>
<thead>
<tr>
<th>Course</th>
<th>Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>NSc 5461</td>
<td>Cellular &amp; Molecular Neuroscience</td>
<td>4 cr</td>
</tr>
<tr>
<td>NSc 5561</td>
<td>Systems Neuroscience</td>
<td>4 cr</td>
</tr>
<tr>
<td>NSc 8334</td>
<td>Lab Neuroscience</td>
<td>1 cr</td>
</tr>
<tr>
<td>NSc 8321</td>
<td>Career Skills-Understanding Responsibilities as a Neuroscientist</td>
<td>0.5 cr</td>
</tr>
</tbody>
</table>

### Spring Semester (Year 1)

<table>
<thead>
<tr>
<th>Course</th>
<th>Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>NSc 5661</td>
<td>Behavioral Neuroscience</td>
<td>3 cr</td>
</tr>
<tr>
<td>NSc 8211</td>
<td>Developmental Neurobiology</td>
<td>3 cr</td>
</tr>
<tr>
<td>NSc 8334</td>
<td>Lab Neuroscience</td>
<td>1 cr</td>
</tr>
<tr>
<td>NSc 8321</td>
<td>Career Skills-Understanding Responsibilities as a Neuroscientist</td>
<td>0.5 cr</td>
</tr>
</tbody>
</table>

### Fall Semester (Year 2)

<table>
<thead>
<tr>
<th>Course</th>
<th>Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nsc 8111*</td>
<td>Quantitative Neuroscience (Experimental Design, Neurostatistics, and Coding)</td>
<td>3 cr</td>
</tr>
</tbody>
</table>

### Spring Semester (Year 2)

<table>
<thead>
<tr>
<th>Course</th>
<th>Title</th>
<th>Credits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nsc 8321</td>
<td>Career Skills-Understanding Responsibilities as a Neuroscientist</td>
<td>0.5 cr</td>
</tr>
</tbody>
</table>

MD/PhD students are expected to audit the Systems and Cellular and Molecular classes in the first semester. They are expected to participate in the active learning components of the classes and are responsible for the information in the Written Prelim (q.v.)

In addition, to meet university requirements, all students will have to take 24 thesis credits (Nsc 8888).

Students are welcome to take additional elective classes by discussion with their advisor and the DGS.
2. Supporting Program or Minor

GPN students are not required to take a supporting program nor are they required to take a minor.

3. Registration Requirement

All graduate students are required to register for 6-14 credits in the Graduate School every fall and spring term in order to maintain active status until they have passed the oral preliminary exam and completed 24 thesis credits (NSc 8888). To complete the required courses and thesis credits as efficiently as possible, the student should register for thesis credits (NSc 8888) during the 2nd year.

Students who fail to register annually will be considered to have withdrawn and will have to apply for readmission. Students are not required to register for courses in the summer, and registration during summer is not required to maintain health insurance coverage. Students should NEVER register for any credits during the summer without prior discussion with the program coordinator and your advisor. Please be aware that FICA taxes will be taken from the paycheck during summer. If a student registers for any credits during the summer, student services fees will be charged. Furthermore, tuition benefits are not available during the summer. Thus, the student or the student’s advisor will be responsible for the full tuition and fees incurred during a summer term. [This directive is based on evidence that the amount of FICA tax for the summer period is usually less than the amount of the tuition and student service fees.]

4. Post Thesis Credits

Upon completion of 24 thesis credits and passing the oral prelim, the student should register for NSc 8444, which entitles the student to full time registration for only 1 credit. This typically occurs in the Spring of the 3rd year. Note: Once you register for this you should no longer register for any other courses. You will also need to complete an Application for Advanced Doctoral Status Form.

C. Laboratory Rotations

In the first year of graduate studies, PhD students participate in four laboratory rotations, each of which will last 8 weeks. Two rotations take place in the fall semester of the first year, and two rotations take place in the spring semester of the second year. MD/PhD students rotate in the summer before their M1 year and in the summer between their M1 and M2 years. These rotations should be discussed with DGS prior to their onset. They should have chosen a PhD mentor prior to the beginning of Itasca in the year they begin their first graduate program year.

Rotations assignments should begin with a discussion between the student and the potential rotation faculty. Assignments depend on space availability and the interests of the individual students. Students are encouraged to discuss their choices for rotation advisors with the DGS prior to selecting each laboratory rotation. Students should make their selections well before the beginning of the semester to allow time for any arrangements
Laboratory rotations allow students to experience the broadest possible spectrum of laboratory techniques and to explore experimental neuroscience in actual research settings. An important program goal is to emphasize the major requirement for a successful graduate education: the ability to plan and coordinate several efforts by balancing coursework and research. Research projects in different labs will be designed as components of current research projects. Consequently, the research results will be weighted equally with the experience gained by the students.

Typically, when not in class, students are expected to be involved in their research projects. It is expected that a minimum of 20 hours per week will be devoted to research projects. First-year PhD students should register for 1 credit of NSc 8334 (section 1).

Laboratory rotations have two primary goals: (1) to provide students and faculty the opportunity to “test-run” the interaction that could lead to a long-term advisor/advisee relationship (see Section V below), and (2) to provide students a broad experience across the field of neuroscience. It is expected that a student will have selected an advisor at the end of the fourth rotation. Students must do the first three rotations in different laboratories. In the fourth rotation, a student may return to a previous laboratory, either to complete a project or to start on their thesis research. The student may spend the fourth rotation in a different laboratory, either to get additional experiences or to try a fourth opportunity to find an advisor.

D. Teaching Requirements/Opportunities

Teaching assistant (TA) requirement:

- It is required that GPN students TA at least one course in neuroscience.
- Student preferences for a TA assignment will be considered, but not guaranteed.
- Students will generally complete the TA requirement in their 2nd year.
- There may be opportunities for students to TA a second semester if they choose:
  a. Students can arrange a mentorship with one of the course faculty for credit for PFF8102. They will function as a TA in the course and will be mentored in giving 3 lectures (course requirement). They will not be paid.
  b. If TA positions are not filled by students completing the TA requirement and students enrolled in PFF8102, the Department of Neuroscience will offer a "fellowship" to students who choose to TA additional semesters and not receive credit in the PFF program.

E. Colloquium

Students are expected to present a half-hour colloquium as part of the Wednesday noon GPN colloquium series annually starting in their third year for PhD students and in their second year for MD/PhD students. The goal is for the student to report their research progress to the GPN community.

F. Seminars
G. The manuscript requirement

A PhD in neuroscience is a research degree. The primary means of communication of results to the scientific community is through peer-reviewed publication in scientific journals. Students are expected to publish multiple papers in high-impact journals during their time as a graduate student.

All students are required to write at least one paper that is submitted to a peer-reviewed journal. It is preferred that this paper be published before graduation, but the GPN faculty recognize that publication can be a long process, particularly in high-impact journals. Therefore, the requirement is that at least one paper be submitted. The thesis committee (see Section VI below) is tasked with ensuring that the paper submitted is of good quality.

H. Examinations

There are three examinations required for the Ph.D. degree: the Written Preliminary Examination, the Preliminary Oral Examination, and the Final Oral Examination with Thesis Defense.

1. Written Preliminary Examination

A qualifying written examination for candidates for the Ph.D. in Neuroscience will be given once during the year. The purpose of this exam is to test the integration of the student’s knowledge and understanding of the core content, as well as the student’s written communication and logic skills. The examination is usually scheduled for mid-June of year one in the program. All candidates will take the written examination after the completion of their first year's coursework. Instructions for the exam as well as a copy of the previous year's exam will be distributed to first-year students during the spring semester. Spring review sessions are held to assist students in preparation for the exam. Because of the magnitude of work involved in the organization and preparation of this exam, requests for deviation from the above schedule must be submitted in writing by the student to the DGS, and should be considered only for medical reasons or family crises.

The grading process for the written preliminary examination is contained in the related document (WrittenPrelimExam-GradingProcedures.docx).

Format for the Written Preliminary Examination

The Written Preliminary Exam will be an open notes exam. Students will have access to course lecture slides, their class notes, study guides they have written, and text books used in the courses. They will not have access to the internet or any online materials. Students will sign an honor code, stating that they will not access online materials during the exam.

For each course, students will answer 3 out of 4 questions. There will not be short or long
questions. All questions will be the same length. Integrative questions (those requiring knowledge of material covered in more than one course) will be stressed, with 2 to 4 integrative questions included for each course.

As in previous years, students will take the exam in a common room, using their own computers. The exam will begin at 8 AM. Cell and Molec and Systems will be given on the first exam day and Development and Behavior on the second day. Students will have 8 hours to answer the questions on each day. This includes time for breaks and lunch.

New approaches will be used to generate integrative questions for the exam. All faculty and students, including first year students, will be encouraged to submit questions for the exam. Questions must be submitted to the chair of the Curriculum and Exam Committee by April 20, excepting first year students, who may submit questions through May 20. Extra questions will be saved for use in future years. The writer of a question (or the course directors) will designate the ‘primary course’ for which the question will be used. First year students will be encouraged to write questions as part of their preparation for the exam. The expectation is that we will use at least four of the student-submitted questions in the exam (one for each course), with the understanding that the questions may be revised or modified. First year students will also be encouraged to write integrative questions by receiving extra credit for writing them during the course of each core class.

**Student preparation for the exam**

The new format for the written preliminary exam will be discussed with first year students during the Career Skills class dealing with the exam. A list of suggestions for preparing for the exam will be distributed during the class.

**Rationale**

Presently, the written prelim exam is largely a repeat of the final exams of individual courses and students feel compelled to memorize as much of the courses as they can. By changing the exam to an open note format, students will not have to memorize each course. An open note exam will more closely reflect the ‘real world’ situation students will experience as researchers, where they will have access to their notes and the internet.

An open note exam will compel faculty to write questions that test students’ understanding of concepts rather than the simple recitation of memorized facts. We believe that this will result in better questions that test a student’s true understanding of the course material.

The number of questions students will answer each exam day is reduced from 8 to 6 questions and the duration of the exam reduced by one hour. This will reduce the stress experienced by the students.

In the past, it has been difficult to solicit integrative questions from course faculty. By asking for integrative questions from all Neuroscience Program faculty and, particularly, students, many more questions will be received. More importantly, first and second year students are best prepared to write integrative questions, as they have recently learned the material from all four courses. We believe that the best questions will come from these students. Students writing and answering each other’s integrative questions will be excellent preparation for reviewing and relearning the course material. Students will have the added incentive to write good questions as their questions may be used on the exam.
Student preparation for the exam

- First year students are not required or expected to work in the lab for the month preceding the exam. If a faculty member asks you to work in the lab during this time, please contact the DGS.
- Write study notes/guides which can be used during the exam.
- Write and submit integrative questions that may be used in the exam. You should share these questions with each other and develop good answers for them. Questions must be submitted to the chair of the Curriculum and Exam Committee by May 20 in order to be considered for this year’s exam.
- Form study groups to study together.
- Arrange review sessions with the faculty of each course.
- Meet with upper class students for advice on studying for and taking the exam.
- Practice outlining answers to questions that you pose to each other. ‘Design an Experiment’ questions are particularly useful.
- Identify and make lists of common themes and ideas both within and across courses. What are the important open questions raised in each course?
- Identify common experimental strategies. Rate the merits and limitations of common techniques.
- Identify critical experiments that served as turning points in understanding fundamental neuroscience questions.
- Choose an ascending or descending pathway. Draw its anatomy and describe its development and its function at the cellular, systems and behavioral level. Articulate the major principles involved in this pathway in each of these domains of nervous system structure and function.
- Focus on common themes across courses and how these are related.

2. *Oral Preliminary Examination*

The oral exam tests three areas:

- **Oral communication**: clarity in articulating a conceptual framework for a hypothesis and responses to questions.
- **Thinking**: logical thinking and the ability to "think on one's feet" in defending the soundness of one's ideas: the rationale for experiments, interpretation of data, and significance of conclusions.
- **Knowledge**: understanding of knowledge within an area chosen by the student (depth component) as well as the relevance of one's research to other areas of neuroscience and biology (breadth component). The scope of questioning in the oral exam is expected to include neuroscience and the minor or supporting field. The student may be asked to discuss other work that validates experimental approaches (may draw on other fields).
- **Quantitative Skills**: The Oral Prelim Exam should explicitly include an assessment of the statistical methods used in the student’s written proposal. The student should be quizzed on the statistical analysis methods covered in the Quantitative Neuroscience course.
A research proposal in the form of an NIH NRSA F31/F30 will form the basis of the research discussion of the exam.

The proposal should be aligned with the proposed thesis research. The proposal is not a binding document but an exercise in analytical thinking and problem solving skills that will be tested in the oral examination. The research proposal describes experiments that will test a hypothesis. The hypothesis answers a question that is posed about the nervous system.

The written proposal must be in the form of an NRSA (F31/F30) research proposal, which has a 6-page limit plus a 1-page specific aims section for the research statement. Literature citations must be included, but do not count in the 6-page limit. Research statements that include vertebrate animals must include the 5-points of vertebrate animal justification as a separate section (the “vertebrate animal” section of an NRSA). Research statements that do not include non-human vertebrate animals do not need to include this section. Research statements that include humans do not need to include the human-subjects portion of the NRSA.

The written proposal must also include a training plan in the format appropriate for an NRSA (F31/F30) co-written by the advisor and student (as would be done for an NRSA application). This training plan should be included in the discussion by the oral prelim committee.

- The application may be written any time before the deadline of September 1 (see below). No written description of preliminary data is required. Preliminary data available at the time of the oral preliminary exam may be included in the oral presentation by the student at the beginning of the exam.
- The advisor is expected to be involved in discussing the research plan and editing at least one draft of the proposal as part of mentorship responsibilities. This is not a conflict of interest because passing the oral exam is not dependent on the written document but on the student's performance in defending an hypothesis and how it will be tested (i.e., rationale, experimental design, significance of the research plan).

NOTE: After preparing the research proposal, the student and advisor should consider submitting the proposal for funding as a Ruth L. Kirschstein-NRSA (F31/F30).

Time, date, and location of the oral prelim exam.

All research proposals are due in the graduate program office by 4 p.m. on September 1st of the student's third year in the graduate program (i.e., at the beginning of the student's third year). If September 1st falls on a weekend, proposals will be due by 4 p.m. of the first regular work day after this date. For MD/PhD students, this research proposal is due by 4 p.m. January 2 of their second year in the graduate program. If January 2 falls on a weekend, proposals are due by 4 p.m.
Extensions to this deadline can be given with approval of the DGS. In order to get an extension, the student should send an email to the DGS and cc their advisor. The advisor should then reply to the DGS with an “OK”/Approval. (This is important because extending the deadline can delay the student’s transition to advanced doctoral status (See Section III.B.4, above), which can increase the cost of the student to the advisor.)

NOTE:

It is now required that all students meet with Director of the Office of Professional Development in the Medical School, Dr. Sharolyn Kawakami-Schulz prior to setting up their Oral Prelim committee. They must bring their Individual Development Plan (IDP) with them to this meeting. The Associate Dean of Graduate Education now requires this meeting prior to approval of this examination committee.

The following information must be included with submission of the proposal:

- **Names of the members of the Oral Prelim committee.** Members are proposed by the student and advisor and approved by the DGS before September 1<sup>st</sup> or at least 10 weeks before your Oral Prelim. It is important to discuss the potential members with the DGS/ADGS prior to asking the individual faculty members to join the committee. The chair of the committee must be a member of the Graduate Faculty in Neuroscience and may not be the thesis advisor. Other members must include a minimum of 2 members of the Graduate Program in Neuroscience and 1 member with a graduate faculty appointment in another graduate program. (See Section VI, below.)

For PhD students, the exam must be completed by December 1<sup>st</sup> of the student's third year in order for the student to remain in good standing in the graduate program. For MD/PhD students, the exam must be completed by February 1 of the student’s second year in order for the student to remain in good standing in the graduate program. If the normal completion target date falls on a weekend, the exam must be completed no later than the Friday before this date.

A student may petition the director of graduate studies for an extension of this deadline. The time and place of the exam are scheduled by the student, and the student must notify the graduate school. Three weeks are required between notification of the graduate school and the date of the exam so that the graduate school can process paperwork for the exam.

**Role of the Oral Prelim Committee following the exam.**

In addition to serving as the examining committee for the oral prelim, it is expected that this committee will be an advising committee for the student during the course of his/her dissertation research and will meet with the student at least twice/year. Each meeting will be scheduled by the program administrator. See Section VI, Thesis Committees.

3. **Final Ph.D. Oral Examination**

The Ph.D. Thesis Final Committee Form should be filed with the Graduate School no later
than one Semester after a student passes the preliminary oral examination and at least one term prior to the Final Oral Exam. See https://onestop.umn.edu/academics/ examination-committees

This committee does not have to be the same the Oral Preliminary Examination Committee. This form also specifies three members who will serve as reviewers of the thesis. Two reviewers, plus the advisor, are selected from the major field. The oral thesis examining committee must be approved by the DGS.

These members read the thesis draft and must sign a form indicating that it is acceptable for defense at least two weeks prior to the scheduled date of the final oral examination. It is up to the student to find an appropriate date and room, and to make sure sufficient time is allowed for the readers to examine the thesis. Graduate school rules specify that all members of the committee must have at least two weeks to read the thesis. This examination is primarily the thesis defense, although the questions and discussion may cover related areas as well. The first portion of all final oral examinations is a seminar given by the student covering the thesis research. This seminar must be publicly announced and all interested faculty and students are invited. As is stated in the Graduate School Bulletin, the thesis seminar presentation is the part of the oral examination "to which the scholarly community is invited." Following a brief period of questions from the audience, the second portion of the examination will consist of additional questions to the candidate from the members of the examination committee. The second section of the examination is not open to the public.

Questions often arise about the role of the reviewers and the interpretation of the reviewers’ actions prior to the oral examination. The reviewers determine whether the thesis is acceptable for defense. If the thesis is judged to be not acceptable for defense, specific reasons will be communicated to the student. If acceptable, the reader has judged that the thesis is ready for oral defense - and only that. The reviewer may have reservations and after the oral examination vote not to pass the candidate for the Ph.D. degree. These reasons should be communicated to the student. The Graduate School Bulletin provides further detailed information regarding the final oral exam.

Scheduling the oral PhD thesis defense

- Your dissertation must be submitted to your committee a minimum of one month before you can defend.
- The readers on your committee will then have two weeks to read the dissertation and approve it for defense. They need to file an electronic form with the Graduate School to acknowledge that the thesis is acceptable to defend.
- If your dissertation is approved for defense, you may schedule the defense any time at least two weeks after approval. The program coordinator must be made aware of the scheduled defense so that it can be announced to the community at least two weeks before your presentation.

Note: In practice, it is a good idea to know what date and time work for all of your committee. Scheduling the availability of four or more faculty can be difficult. It is
preferred for thesis defenses to occur during the Wednesday noon seminars, but this is not a requirement.

I. Evaluation of Student’s Progress

Normal progress toward the Ph.D. degree by full-time graduate students is based on fulfillment of the following general minimum requirements.

- Selection of the thesis problem and advisor by the end of the first year.
- Maintaining a minimum GPA of 3.0.
- Performing satisfactorily on the written preliminary exam.
- Passing the oral preliminary examination within one to one and one half years after successful completion of the written preliminary exam.
- Performing all teaching assignments and other program functions satisfactorily.
- Following the completion of the oral exam, students must meet bi-annually with their Graduate Committee. A committee report must be submitted to the program office following the annual meeting.
- Starting in the 3rd year (2nd year for those in the MD/PhD track) students must give a half hour oral presentation during the Wednesday GPN colloquium.

As a general rule, all requirements for the Ph.D. in Neuroscience should be completed in the range of 4 to 6 years. Although the Graduate School time limit for the Ph.D. degree is five years after the oral preliminary examination, the Neuroscience faculty view this limit as excessive. It is the joint responsibility of the student, the advisor and the Graduate Committee to set goals for completion of each individual's program and to periodically evaluate the rate of progress and achievement of these goals. It is of particular importance that the student assume an active role in this process and seek assistance from the advisor and Graduate Committee if unforeseen professional or personal circumstances appear to be substantially changing the rate of progress for attaining the Ph.D. degree.

Student progress is evaluated by annual meetings with the DGS and Associate DGS for all students, annually by the advisor for all students after their first year, and biannually by the thesis committee for all students after their Oral Preliminary Examination.

Student Progress

Student progress is monitored and evaluated by biannual meetings with the DGS and Associate DGS (Fall: DGS; Spring: Associate DGS) for all students, annually by the advisor for all students after their first year, and biannually by the thesis committee for all students after their oral prelims.

Significant attention is paid to finding a thesis laboratory and quarterly monitoring of rotations during the first year selection process for a PhD thesis advisor are performed. Input from each rotation is obtained from both student and faculty member. If conflicts arise between a trainee and an advisor at any time during their thesis studies, the role of the DGS is to mediate this conflict so that the outcome is positive to both mentee and mentor. Both student and faculty member should meet with the DGS, either independently or together. The Associate DGS will
Changing PhD Thesis Advisor

It is possible to change PhD thesis advisor during the time course of one’s graduate career. Such a change should be discussed with the DGS and Associate DGS, and can be initiated by either the student or advisor. The goal is to develop a smooth transition plan for those involved, with minimal disruption to trainee and faculty member. The process for this transition will have three steps. First, the student will develop a list of potential new PhD thesis advisors in discussion with the DGS and Associate DGS. Second, the student will set up individual meetings with those individuals within one week of the decision to change advisors. Third, the student will do a six-week rotation with the potential new thesis advisor who was identified. The DGS and Associate DGS will each work with either the student or the faculty member in order to ensure that both interests are considered in this process. If this involves a student in the laboratory of either the DGS or Associate DGS, a neutral senior faculty mentor will be identified to fill one of these roles. Meetings will be held with each individual at the start of the rotation, half way through the rotation, and at the end of the rotation. The Graduate Program in Neuroscience will pay the stipend and fringe benefit costs during this rotation period. While it is assumed that this rotation will end up with a new thesis advisor, if this rotation does not result in the student joining the laboratory in which they rotated, one additional rotation will be allowed.

To be a PhD student in good standing after the beginning of Year 2 in the program a PhD thesis advisor is mandated. In the absence of an identified thesis advisor, the student is identified as not making satisfactory progress toward the degree. If not resolved within the timeframe and guidelines specified by the procedures outlined above, this will result in the termination of graduate status (see Termination of Graduate Status).

J. Stipend

The current stipend for GPN graduate students is $33,000 starting in July 2020, and details can be found here. In addition to the stipend, students receive full tuition and health benefits. Although this is officially identified as a 50% appointment due to university rules of research and training, however, we interpret as a full-time commitment.

1. Awards

Some awards and fellowships may increase the stipend. Awards and fellowships that do not provide the full stipend must be supplemented to match the GPN-mandated stipend. In accordance with NIH guidelines supplements of Kirschstein-NRSA awards and appointments to T32 institutional training grants can only be made from non-sponsored funds because the dollars cannot represent compensation for work on a grant related to the student’s research or graduate training.

2. Teaching Assistantships
Every student must TA one class during their time as part of their graduate training. Students do not receive any extra stipend supplement or teaching assistantship coverage of stipend for TAing this class.

Additional teaching assistantships may be available. The stipend may be supplemented if a student serves as a Teaching Assistant in certain courses beyond that one class TAship. Teaching assistantships in certain other courses can also be used to cover part or all of the stipend if they are available.

3. Augmentation of the stipend

Students are expected to pursue their research full time. The GPN recognizes that some students may be interested in augmenting their income with additional work for compensation that contributes to their long-term goals (e.g., teaching a course off-campus). This work must not be related to the research plan for the thesis nor should it interfere with, detract from, or prolong the student’s graduate training. Students must discuss any plan for compensation in addition to their stipend with their advisor and with the DGS.

The GPN has a policy of equity in the graduate student stipend. The only exceptions are competitive fellowships based on merit. A faculty member may not independently use a University account to augment the stipend of a student above the amount mandated by the GPN without written approval of the DGS.

K. Termination of Graduate Student Status and/or Support

1. Award of the Ph.D.

The Ph.D. in Neuroscience is awarded upon successful defense of the doctoral dissertation and submission of the completed dissertation to the Graduate School. The date of degree is the end of the month in which all requirements are completed. Upon completion of all degree requirements, students should notify the program office of the effective date for termination of student status.

2. Withdrawal from the program.

Students who decide to withdraw from the program should give written notice to the Director of Graduate Studies as soon as the decision has been made. The notice should indicate the effective date of withdrawal. Withdrawing from the program without providing notice will be considered as unsatisfactory performance.

3. Termination of graduate status

The Graduate School and Neuroscience Program require that one warning be issued to the student regarding unsatisfactory performance (including instances in which a student withdraws without notice to the GPN) before that student is terminated. This warning will be in the form of an official letter, written by the DGS and approved by the steering committee. The warning must include the specific deficiencies and must outline a mechanism and time limit for correcting them. If those defined milestones are not met, then
the DGS can terminate the student from the program.

Students must have a cumulative graduate GPA of 3.0 or higher after 3 semesters of residence in order to remain in the program. Thereafter the cumulative GPA must be 3.0 or higher at the end of the spring semester of each year and prior to graduation. Satisfactory performance on all GPN milestones is required for continuation in the program.

4. **Academic misconduct**

Academic misconduct (such as cheating on closed book examinations) or violation of course guidelines (which describe the extent of collaboration that is acceptable in responding to take-home examinations, homework assignments or problem sets) is sufficient cause for dismissal from the program. Please refer to the Student Conduct Code for more information on this issue: https://policy.umn.edu/research/academicmisconduct.

IV. **Neuroscience Minor Requirements for Students Majoring in Other Fields**

The program for an individual student is developed by consultation between the student and the DGS of the Graduate Program in Neuroscience. Students are required to take one of the following core courses.

- Function/Structure: NSc 5561: Systems Neuroscience (4 credits)
  - NSc 5561 requires that you be registered concurrently in NSc 5461, or that you have taken an undergraduate neuroscience course such as NSci 3101.
- Cellular/Molecular: NSc 5461: Cellular & Molecular Neuroscience (4 credits)
  - NSc 5461 requires that you have taken undergraduate courses in cell biology and biochemistry. We recognize that students who lack the prerequisites may nevertheless wish to minor in Neuroscience. If you do not have the undergraduate preparation, it is important that you consult with the DGS early in your graduate career to develop a plan of study.

V. **Advisors**

The graduate advisor will be the student's primary source of instruction and advice. Until a student selects a permanent advisor, the Director of Graduate Studies (DGS) will serve as interim advisor. To assist in the selection of a research problem and a research advisor, several activities have been arranged.

A. **Laboratory Rotations**

The exposure to new laboratory techniques and participation in active research projects during the rotation allow the opportunity to become acquainted with current research interests of individual faculty members. There will be 4 laboratory rotations, each lasting half a semester (approximately 8 weeks). For a more complete description of laboratory rotations, see Section III.C above.
B. Faculty Curricula Vitae

A complete curricula vitae and bibliographies of a faculty member can be requested from the program office. Short descriptions of each faculty member’s research can be found on the Neuroscience Program’s website:

http://www.neuroscience.umn.edu/alphabetical-faculty-list

In addition, attending seminars, especially the weekly Neuroscience Colloquium, is a good way to become acquainted with faculty research interests.

C. Faculty mentoring

Faculty members are expected to provide mentorship to their students. The GPN steering committee is tasked with ensuring that faculty are providing good mentorship to the students. If training faculty are found to be not providing good mentorship for their PhD trainees, they may receive a letter from the GPN steering committee. This letter can have consequences that entail limitations of faculty rights with respect to the GPN, including but not limited to limitations in the faculty member’s ability to take graduate students, the faculty member’s ability to serve on GPN committees, or even termination from the GPN training faculty.

All training faculty are required to take mentorship training before being appointed to the GPN training faculty. Training faculty also are required to take this training before being renewed to the GPN faculty. This training is available at:


D. Selecting your Research Advisor

Students are expected to use the laboratory rotations as the major basis for choosing their research advisor. The actual selection will take place during Spring Semester, to allow students time to collect as much information as possible before making a decision. Students should begin work in their chosen labs at the beginning of the summer.

Factors to consider when choosing an advisor include: laboratory research interests, laboratory space and equipment, rapport with advisor, and funding availability.

Once an advisor has been chosen, both the student and advisor must sign the following contract. Student-Advisor Contract

VI. Thesis committees / Graduate committee

Each graduate student will have a thesis committee that will provide additional advice, mentorship, and evaluation.

The formal Graduate Committee is initially appointed in conjunction with the filing of the Degree Program Transmittal (Form GS 89abc) no later than one term prior to your Preliminary Oral Exam. Students, in consultation with their advisors, should submit to the DGS a list of suggested faculty for these committees. Once informally approved, the
A. Membership
The thesis committee must consist of no fewer than four members, one of whom will be the student’s advisor. Although there is no maximum size of the committee, students are strongly discouraged from having more than six members on their committee. Remember that you can always get advice from any member of the GPN, whether they are on your committee or not. The other members, outside of the advisor, must include two members who are graduate faculty in the Graduate Program in Neuroscience, and one member who is a graduate faculty member in another graduate program. The member representing the outside must have a graduate faculty appointment in the University of Minnesota. It is also possible to include an outside member from outside the University community with DGS approval. The outside member must have appropriate expertise and relevant credentials. The outside member may not serve any of the other roles on the committee (advisor, chair, within-university outside-graduate-program member). This outside field member can also have appointment in neuroscience – in other words, he or she cannot have a graduate appointment only in the Graduate Program in Neuroscience.

One member of the committee must be delegated as the chair. The chair is also approved by the DGS/ADGS. The student’s advisor may not serve as the thesis committee chair. The committee must be officially approved by the DGS and may not be completely composed of members of a single working group. The committee must contain a diversity of faculty members from across the GPN, and can include faculty outside of the GPN if they are part of another graduate program.

B. Annual meetings
Students are encouraged to talk to their thesis committee members in an ongoing manner (and with the rest of the GPN!), but they are required to meet with their members twice annually.

Both annual meetings must consist of a group meeting, at which the thesis committee meets to evaluate the student’s research progress (Is the student making satisfactory research progress?). No more than one member of the committee may be absent from the meeting. Both the advisor and the committee chair must be present. Members may phone or video-call in as necessary. This meeting should include a presentation by the student and a discussion of the student’s recent research progress and research plans. It should include a portion during which the student leaves the room so that the committee can discuss the evaluation of the student’s progress. The committee chair must fill out the thesis committee meeting form (PDF).

The process for the formal annual meeting with a thesis committee is as follows:
The committee meeting will entail the following process:

1. The committee meets with the advisor alone (without the student) to discuss progress, issues, and complications that the advisor is concerned about.
2. The student presents a short progress report and answers questions from the committee (including the advisor). The committee provides insight, comments, suggestions, etc. to the student and advisor.
3. The committee meets with the student without the advisor present to determine if there are any issues, complications, etc.
4. The committee fills out the report form, and gives it to the student, who gives it to Elaine McCauley.

A post-oral-prelim student without a semi-annual progress report from a thesis committee is “not making satisfactory progress in the program”.

VII. Individual development plans

The individual development plan (IDP) is an ongoing exercise designed to help guide thinking about long-term and short-term career plans, and to help bring them into alignment. An IDP is about helping identify short-term plans to move oneself forward in one’s career. Generally, one can think of an IDP in three parts:

- **long-term goals (10 year)**, which should be flexible and not-restricting.
- **intermediate goals (2-3 year)**, which should be the skill-sets and markers needed to achieve the long-term goals
- **short-term goals (1 year)**, which should be measurable, action-oriented, and realistic.

A. Resources

The GPN provides a form for the IDP. Students are encouraged to use this form.

There are many other resources to help you design your IDP. One is myidp.sciencecareers.org. Others include your colleagues and mentors.

B. Requirements

The IDP should be started in the second semester of the second year of the GPN program. Writing the initial forms will be incorporated into the Career Skills class. It should be revisited and updated annually before your annual meeting with the DGS, and will serve a role in the annual meeting with the DGS. There will be a line on both the student and advisor progress report noting that the student and advisor have discussed this IDP.

All students are expected to create one and use it as a guide to their progress. Importantly, only the presence of an IDP will be used to evaluate students – the content of the IDP will not be used to evaluate students.

VIII. Fellowships
Students are encouraged to apply for competitive fellowships whenever possible, as fellowship awards can be valuable, not only monetarily, but also in terms of aiding a graduate student’s career.

- NSF fellowship applications in the life sciences are due the first week in November (e.g., 11/4/2004) no later than the student's second year of graduate studies.
- NIH Ruth L. Kirschstein NRSA fellowship. The written portion of the preliminary oral proposal / examination is in the NRSA format, students are encouraged to submit this proposal for funding.

It is strongly recommended that an NIH or NSF grant be prepared and submitted during the second year. This serves to focus the thesis plans and outline the introduction to the thesis, and provides both students and advisors with an agreed upon list of objectives. It is also excellent practice for future grant-writing.

A list of deadlines and fellowships available at the University of Minnesota is available at http://www.grad.umn.edu/funding-tuition/fellowshipsandgrants.

IX. GPN Governance Committees

All GPN governance committees consist of a mix of faculty from the range of departments with membership in the GPN. All GPN governance committees have student representatives. Student representatives on the GPN governance committees are fully-fledged voting members of the committees and are expected to perform their duties with the same rights and responsibilities as the faculty members.

A. Steering Committee

The steering committee is the principal governing body of the Neuroscience Graduate Program. The composition of this committee includes the heads of the major committees, the course masters for the core graduate courses, Principal Investigators of Neuroscience Training Grants and three members elected by the faculty of the Neuroscience Program. The terms of the three elected members shall be for three years. Presently, this membership is as follows:

1. Current Director of Graduate Studies (DGS)
2. Associate DGS
3. Past DGS
4. Chair of Faculty Status Committee
5. Chair of Curriculum Committee
6. Chair of Seminar Committee
7. Chair of Admissions Committee
8. Course Director, Itasca
9. Course Director, System Neuroscience Course
10. Course Director, Cell and Molecular Neuroscience
11. Course Director, Developmental Biology
12. Course Director for Behavioral Neuroscience
13. Course Director for Quantitative Neuroscience
14. PI on a GPN-Related Training grant
15. 3x Elected Representatives
16. 2x Student Representatives

The election of the DGS takes place one year prior to the expiration of the DGS term for 4 years. Upon election, the DGS-elect becomes a member of the steering committee. The Steering Committee meets on a regular basis, under the guidance of the DGS, to consider the evolving issues of program development. The Senior Administrator of the program serves as the secretary of the Steering Committee. The decisions of the Steering Committee are binding and do not require approval by the general faculty membership.

The Steering Committee has two major functions. One is to approve all rules and changes in regulations initiated by the Director of Graduate Studies. Approval by the Steering Committee is also required for course/curriculum changes as proposed by the curriculum committee. Approval of any initiative considered by the Steering Committee, including changes in the bylaws, requires a majority vote of the attending steering committee membership, provided that a 2/3 majority of the committee is in attendance at the time. If an insufficient number of members are in attendance for any deliberation, all absentee members can be polled by the secretary to determine their position on the issues at hand.

Members of the program committees will be appointed to 4 year terms which can be renewed once. Nominations for membership will be solicited whenever a vacancy arises. Criteria for appointment to a program committee include: service to the Graduate Program, distinction in research and graduate training, and the need for diversity in faculty representation.

B. Admissions and Recruitment Committee

This committee oversees the application and admissions process for bringing new students into the Neuroscience Graduate Program. These responsibilities include the evaluation of applicants, communication with prospective students and applicants, and their recruitment into the program. A major component of this process is to plan and execute the campus visits for each prospective student, to facilitate successful recruiting.

C. Awards and Fellowships Committee

This committee oversees the allocation of currently existing award prizes, travel funds or other symbols of recognition of merit bestowed by the Graduate Program in Neuroscience as a whole. This includes soliciting nominees, selecting winners from among the nominees, and arranging for the formal conferring of the awards, and exploring possibilities of funding awards with outside organizations.

D. Curriculum and Examination Committee

This committee oversees the educational composition of the Neuroscience Graduate Program. These functions include the programmatic features of graduate education in the Neurosciences, which include courses, seminars, and other components of the educational
process, such as lab rotations. Recommendations from the Curriculum Committee are passed to the Steering Committee, where final decisions on programmatic changes are determined.

**E. Faculty Status Committee**

This committee evaluates applications for membership in the Neuroscience Graduate Program and makes recommendations to the Neuroscience Faculty, whose majority vote determines membership in the program. The Faculty Status Committee also evaluates continued participation in the program for those members whose terms are scheduled for expiration.

**F. Seminar Committee**

This committee is responsible for coordinating and organizing seminars to enhance the Graduate Program in Neuroscience. The functions of this committee will include a determination of invited guest speakers from outside the University, based on recommendations polled from the membership of the Graduate Faculty. In addition, seminars internal to the program (Neuroscience Colloquium) and special events, such as the Neuroscience Grass Lecture Series, will be organized by the Seminar Committee.

**G. Community Outreach Committee**

This committee is charged with overseeing and developing community outreach activities, such as those associated with Brain Awareness Week, exhibits at the State Fair, etc. The function of this committee is to enhance the visibility of neuroscience and the graduate program within the community, to foster a better understanding of neuroscience and to encourage K-12 students to consider higher education in science.

**X. Courses**

The list of courses offered by the Graduate Program in Neuroscience is available on the GPN website. Please see the website for a complete description of each. There are several relevant courses offered through other departments as well.

**XI. Graduate School Procedures**

**A. Active student status**

Students must register in the Graduate School the semester in which they are admitted or readmitted. To maintain active student status, students must register in the Graduate School every fall and spring Semester.

**B. Commencement**

Graduate School commencement ceremonies are held in fall and spring semesters. Students who wish to participate in commencement should contact the Graduate School one Semester in advance of the ceremony.
C. **Required G.S. Forms**
Forms, due dates, and details can be found on the Graduate School website at [https://grad.umn.edu/](https://grad.umn.edu/)

XII. **Administrative issues**

A. **General policy statements**
The Graduate Program in Neuroscience is a part of the Academic Health Center at the University of Minnesota, and, as such, is covered by all of the standard policies applicable to those institutions.

Please see [http://policy.umn.edu/](http://policy.umn.edu/) for the latest official university policies.

A partial list of the appropriate policies are below:

- Policy on academic and research misconduct: [https://policy.umn.edu/research/academicmisconduct](https://policy.umn.edu/research/academicmisconduct)


B. **Accident reporting**
All injuries should be treated without delay and must be reported to both the department in which the student is currently working and the victim's immediate supervisor as soon as possible. The full policy is available at: [http://www.policy.umn.edu/Policies/Finance/Misc/PROPERTYINSURANCE_PROC01.html](http://www.policy.umn.edu/Policies/Finance/Misc/PROPERTYINSURANCE_PROC01.html)

[https://policy.umn.edu/hr/workerscomp](https://policy.umn.edu/hr/workerscomp)

C. **U-Card, x500 account, etc.**
All GPN students will have an x500 email account assigned to them as per university policy. All students are required to get a U-Card for photo ID as per university policy [http://www.policy.umn.edu/Policies/Operations/Outreach/UCARD.html](http://www.policy.umn.edu/Policies/Operations/Outreach/UCARD.html)

D. **Copying**
First-year students may request use of the copy machine in 6-145 Jackson for GPN related activities. After a permanent advisor has been chosen, students should discuss the procedure to be used in the advisor's department.

E. **Mail**
First-year students may request a mailbox in the Neuroscience Department mailroom (6-145 Jackson Hall). Generally, after a permanent advisor has been chosen, mail is delivered to mailboxes for the advisor’s laboratory. Mail is delivered once daily.

Outgoing and campus mailboxes are in the mailroom. Personal mail must have postage affixed. U.S mail should be in the box by 4:00 to go out that day. There is a U.S. Post Office in Coffman Union.

F. Paychecks
Student stipend is handled through standard university payroll practices and is covered by the policy at
http://www.policy.umn.edu/Policies/hr/Hiring/GRADSTUDENTEMPLOYMENT.html

Students are on biweekly payroll as per university standards and will receive paychecks every two weeks (26 checks per year). If the payday occurs on a weekend or holiday, checks will be in the department office the preceding Friday. Checks can be picked up after 12:00 noon. Students who want to have checks directly deposited into their bank account should obtain a form from the office. Direct deposit is more secure and more efficient, and it is strongly encouraged.

G. Statement on Harassment
It is very important to remember that the Graduate Program in Neuroscience is a workplace, and must be a place conducive to work for everyone. Harassment of any sort will not be tolerated.

1. Specific statement on sexual harassment
Sexual harassment is against the law. Sexual harassment subverts the mission of the University and threatens the careers of students, faculty, and staff. It will not be tolerated in the Graduate Programs of the Medical School.

The 2017 Board of Regents policy defines sexual harassment as “unwelcome conduct of a sexual nature under either of the following conditions: (a) When it is stated or implied that an individual needs to submit to, or participate in, conduct of a sexual nature in order to maintain their employment or educational standing or advance in their employment or education (quid pro quo sexual harassment). (b) When the conduct: (1) is severe, persistent or pervasive; and (2) unreasonably interferes with an individual's employment or educational performance or creates a work or educational environment that the individual finds, and a reasonable person would find, to be intimidating, hostile or offensive (hostile environment sexual harassment).”

All University members are prohibited from engaging in, or assisting or abetting another's engagement in, sexual assault, sexual harassment, relationship violence, stalking, and related retaliation (collectively "prohibited conduct").

Information and guidance regarding sexual harassment are available from the Office of Equal Opportunity and Affirmative Action, which is also the office that receives
complaints of sexual harassment. See the current University Policy for more details.

As of the writing of this current document (10 July 2018), The Minneapolis St. Paul Campus Title IX officer is Tina Marisam, and her contact information is: (612) 626-9357, marisam@umn.edu. Information about resources for personal support that are available to individuals who believe they have experienced prohibited conduct, as well as answers to questions including those listed below can be found at https://policy.umn.edu/hr/sexharassassault#faqlink.

- Who can I call for help? Are there any confidential resources available to me?
- Can the University provide me with any accommodations or protective measures? What about my housing situation and my classes?
- Do I have to initiate a University investigation if I have experienced prohibited conduct?
- Is there a time limit for initiating an investigation?
- Is it possible for a complainant to remain anonymous during an investigation?
- Who can explain the investigation process to me?
- Are there resources on campus that can support me through the investigation process?

H.   Disability resources and student accommodations

The GPN provides equal access to and opportunity in its programs and facilities, without regard to race, color, creed, religion, national origin, gender, age, marital status, disability, public assistance status, veteran status, sexual orientation, gender identity, or gender expression. For more information, please consult Board of Regents Policy: http://regents.umn.edu/sites/regents.umn.edu/files/policies/Equity_Diversity_EO_AA.pdf

As such, the GPN views disability as an important aspect of diversity, and is committed to providing equitable access to learning opportunities for all students. The Disability Resource Center (DRC) is the campus office that collaborates with students who have disabilities to provide and/or arrange reasonable accommodations.

The general process of accommodating disabilities in the classroom is that

- The student approaches the DRC to be assessed.
- The DRC makes a decision as to whether or not to provide an accommodation letter, which will detail their recommended accommodations.
- The student provides the accommodation letter to the professor teaching the class or serving in another capacity for which an accommodation is necessary.
- If the accommodation is difficult or incompatible with how the class or work system is structured, then the professor will negotiate the accommodation with the DRC. Negotiations should be made with the DRC, not the student.
- Students are never required to disclose a disability to a professor, nor is there any expectation that they will do so. All disability accommodation decisions are negotiated using the DRC as an intermediary.
If a student has, or thinks they have, a disability in any area such as, mental health, attention, learning, chronic health, sensory, or physical, they should first contact the DRC office (612.626.1333) to arrange a confidential discussion regarding equitable access and reasonable accommodations.

Students with long-term disabilities should register with the DRC who will make the decision of whether or not to provide an accommodation letter.

Students with short-term disabilities, such as a broken arm, can often work with instructors to minimize classroom barriers. In situations where additional assistance is needed, students should contact the DRC as noted above.

I. **Conflicts**

We do our best to minimize conflicts between students, between students and faculty, or between faculty. There are many available resources for a student to discuss such conflicts, including:

- One’s advisor.
- The DGS/ADGS.

Confidential university-wide support for conflict resolution can be found at

- Student Conflict Resolution Center [www.sos.umn.edu](http://www.sos.umn.edu) x4-7272
- University Counseling & Consulting Services [www.uccs.umn.edu](http://www.uccs.umn.edu) x4-3323

Confidential mental health support can be found at Boynton [http://www.bhs.umn.edu/east-bank-clinic/mental-health-services.htm](http://www.bhs.umn.edu/east-bank-clinic/mental-health-services.htm) 5-3222

J. **Vacation**

Graduate students in the GPN are on a 12-month calendar. They do receive paid leave for university holidays. However, they do not qualify for official university paid vacation leave. The GPN allows for 10 days of unofficial paid vacation (2 work weeks). PhD students are not exempt from laboratory work during either Winter, Spring, or Summer breaks. Attendance at scientific meetings or at a course (e.g. Cold Spring Harbor) does not count as vacation time. However, any work days taken off before or after a conference or course (with the exception of travel days) are considered vacation days, and as such, need to be negotiated with their thesis advisor.

All vacation days must be negotiated in advance with their thesis advisor, and during rotations in the first year with the lab advisor of their current rotation. During the first and second year, students are expected to attend required classes, and vacation leave is not permitted when they are enrolled in these classes. In the case of conflicts between students and advisor related to vacation time, these will be adjudicated by the Director of Graduate Studies.

J. **Sick Leave**
Graduate students are entitled to official university paid sick leave, not to exceed 10 days (2 work weeks), of consecutive pay for absences caused by illness or injury to themselves or a dependent child.

Graduate students are entitled to bereavement leave, Jury-duty leave, and military leave as defined by the following university policy:
https://www.policy.umn.edu/prod/groups/president/@pub/@policy/@hr/documents/policy/gradstudentemployment_appf.pdf

In the case of repeated absences due to prolonged illness, complications from childbirth, or military service, the DGS may request a health care provider’s certification verifying the student’s inability to work and may request a consideration of whether a leave of absence would be appropriate relative to the university leave of absence policy.
https://policy.umn.edu/education/gradstudentleave

K. Parental Leave

Students who give physical birth to a child may, upon request, take up to 6 weeks leave with pay. All students may take up to two weeks paid leave related to the birth or adoption of a child per university policy.
https://policy.umn.edu/hr/parentalleave

L. Leave of Absence

Students wishing a leave of absence for reasons other than sick leave (e.g. military service, prolonged illness, or complications after childbirth), must contact their thesis advisor and the Director of Graduate Studies to discuss options according to university policy.
https://policy.umn.edu/education/gradstudentleave

After notification of the DGS, you must file a Leave of Absence Request with the Graduate School. Students who do not obtain a college-approved leave of absence prior to interrupting enrollment may be terminated from the program. Active status in the program requires students to enroll year-round. The Leave of Absence Request document should include clear criteria for reinstatement.

Students with a college-approved leave of absence are eligible for reinstatement if they file a Leave of Absence Reinstatement form with the Graduate School prior to the term in which they intend to enroll. The student must re-enroll for the term immediately following the expired leave.

M. Graduate Assistant Medical Insurance and Dental Coverage

Students who choose to receive the health coverage provided by the University will be covered by the Graduate Assistant Insurance Plan (currently under a Blue Cross Blue Shield policy). This coverage is administered through the Graduate Assistant Insurance Office (GAIO) at 625-6936. Coverage for dependents is also available. A word of warning: after students graduate, they must contact the GAIO to cancel their insurance. Otherwise the office may continue to bill for coverage after the graduation date.
Details are available at [http://www.shb.umn.edu/twincities/graduate-assistants/gahp/graduate-assistant-health-plan.htm](http://www.shb.umn.edu/twincities/graduate-assistants/gahp/graduate-assistant-health-plan.htm)

Boynton Health Service Dental Clinic provides dental care for students on the Graduate Assistant Health Benefit Plan. Please identify yourself as a Graduate Assistant Health Benefit Plan member and have your student ID number ready when making appointments for yourself or your dependents to assure that you receive appropriate discounts on services.

Details are available at [http://www.shb.umn.edu/twincities/graduate-assistants/gahp/graduate-assistant-dental-benefits.htm](http://www.shb.umn.edu/twincities/graduate-assistants/gahp/graduate-assistant-dental-benefits.htm)
Graduate Program in Neuroscience

Student/Advisor Contract for PhD Students

Commitments in 2020

We understand that ______________________ (student) has chosen ______________________ (advisor) to be his/her advisor. We are glad that you have found a good match. The Steering Committee and the staff of the Program will do everything possible to ensure the success of the student-advisor relationship. In this document, we have summarized some of the policies and rules governing graduate study in the Graduate Program in Neuroscience. Detailed descriptions of all policies can be found in the Student Handbook on the Program's website at http://www.neuroscience.umn.edu.

Financial obligations

1. Student Financial package

First-year PhD students
All students receive the same stipend, $33,000 for academic year 2020-2021, plus tuition and insurance. We estimate this to be the equivalent of $54,237 in total compensation in the second year. It is likely that the stipend will increase during the course of graduate training. Stipends are paid biweekly throughout the calendar year. Stipends are set by the Graduate Program in Neuroscience and are not negotiable. They cannot be supplemented nor rescinded without the explicit permission of the steering committee of the Graduate Program in Neuroscience. Any questions or concerns should be directed to the Director of Graduate Studies (Dr. Linda McLoon).

Second-year MD/PhD students
The advisor is responsible for identifying and providing funds for the full stipend, tuition, and insurance in all subsequent years. The advisor’s support for 2019-2020 will be the entire second-year compensation package, currently a total of $53,237. The program will pay their student service fees in the second year, after which the trainees are responsible for these fees. They are currently $432 per semester.

Second year PhD students
For the academic year 2019-2020, all eligible second year PhD students and all eligible first year MD/PhD students will either be appointed to the Neuroscience Training Grant or will be supported directly by the GPN. Eligible international second year PhD and first year MD/PhD students will receive the same level of financial support as their peers, which will come directly from the GPN. The support from either of these sources will contribute the first $24,816 (equivalent to NRSA support) toward the stipend and $16,784 toward tuition and insurance. The GPN also covers the student fees in the second year. The advisor will be responsible for providing a supplement, which is approximately $11,067. The exact amount will vary slightly based on pending University decisions. sponsored funds. There is no restriction on the type of funds that can be used to supplement the support for students being supported by other GPN funds; in other words, the use of sponsored funds (i.e. NIH or other federal grants) is permissible for students not on the T32 training grant
Third-year students and beyond
The advisor is responsible for identifying and providing funds for the full stipend, tuition, and insurance, which for the 2019-2020 year is currently a total of $45,342 in the third year and $38,016 in subsequent years. Neither the Graduate Program in Neuroscience nor the Neuroscience Training Grant will ensure financial support to cover the advisor’s responsibility to students after the second year. Exceptions are granted on an individual basis.

2. Student Service Fees
The student is responsible for full payment of the “Student Service Fees” each semester during the academic year. The student is also responsible for payment of 5% of their medical insurance premiums every year, which will be billed directly to the student.

3. Charges Related to Additional or Elective Courses
Students are responsible for reading the guidelines for registration in the student handbook (online at http://www.neuroscience.umn.edu) and for reviewing student account charges EACH semester. Errors can be corrected most easily during the first 2 weeks of the semester. GPN staff can assist administratively in the correction of errors, but the student and advisor will be responsible for tuition and insurance errors attributed to the student that cannot be reversed. Any questions about registration and student accounts should be directed to Elaine McCauley.

Progress to Degree

1. Teaching Assistants
The student will complete a teaching assistantship (estimated at 12.5% effort) during the second year in the program. If MD/PhD students are fully supported as a research assistant on the advisor’s grant, the GPN will cover this portion of the student’s stipend during the semester in which the teaching occurs.

2. Oral Preliminary Exam
The Preliminary Oral Exam should be completed in the third year (December 1, 2020 for beginning second year PhD students, and January 20, 2020 for MD/PhD students). The research proposal for the oral exam is due in the GPN office no later than September 1, 2020 and January 2, 2020 respectively. (See current version of “GPN Student handbook” for Rules on Written and Oral Examinations).

3. Meeting with Thesis Committee
The student must meet twice annually with the thesis committee after passing the Preliminary Oral Exam. All committee members should be present for both meetings. A committee report must be submitted to the program office by the chair of the thesis committee after at least one of the annual thesis committee meetings.

4. Presentation at Colloquia
The student is expected to give one colloquium presentation each year beginning in year 3 (year 2 for MD/PhD trainees) and to attend the colloquia and seminars sponsored by the GPN.

5. Laboratory Training and Other Mandatory Regulatory and Oversight Requirements
Attendance at training sessions (e.g., research ethics, laboratory safety) is mandatory. These requirements are defined by the Graduate School and by Federal agencies. It is quite possible the student may not be able to graduate until these requirements have been met.

6. Thesis Defense
Students are expected to defend a thesis between 4 to 6 years after matriculating into the program (see attached milestones). Accordingly, the advisor's responsibility to support the student does not extend beyond 6 years. In any case, support is contingent upon satisfactory progress.

7. Lack of Satisfactory Progress
If the student fails to make satisfactory progress, support may be terminated on 3 months’ notice. The Steering Committee of the GPN will be the ultimate arbiter of student progress; an advisor who wishes to terminate the relationship with a student because of poor progress or other issues would need to present a written petition to the Steering Committee outlining the pertinent issues involved.

Please sign one copy of this form, indicating that you understand the policies of the GPN and the expectations for both the advisor and the student and return the signed document to the Graduate Program Office (campus mail address: 6-145 Jackson Hall).

Signature (Student) Date

Signature (Advisor) Date

Signature (Co-Advisor) Date

Attachment: PhD Milestones (Milestones for MD/PhD students can be found on the program website)
Procedures for Grading and Evaluating GPN
Preliminary Written Examinations

A. **Two graders will independently evaluate each question and assign grades of Outstanding, Pass, or Fail.** The final grade for each question will be determined according to the following rules:

1. Two grades of Outstanding-Outstanding, Pass-Pass or Fail-Fail will be assigned the grade of Outstanding, Pass, or Fail for that question.
2. A grade of Outstanding-Pass will be assigned a grade of Pass.
3. A single Fail for a question, rather than both graders giving a question a Fail, requires that the graders consult with each other and agree on a grade of Pass or Fail. The program administrator will note the discrepancy and will ask them to review the question. If the graders cannot resolve the situation or if they request additional help, the Curriculum Committee will assist in the grading.

B. **If student fails a SINGLE question in ONE or MORE SECTIONS of the examination**

1. Student passes that particular section(s) of the examination.
2. No further remedial work is required.

C. **If student fails TWO or MORE questions in a SINGLE SECTION of the examination.**

1. Student fails that particular section of the examination.
2. Subsequent course of action depends on previous academic performance.
3. If student received grade of B- or better in relevant core course, then
   a. Student will consult with course director.
   b. Student will independently review entire field of core course.
   c. Student will re-write all failed questions.
   d. Student will also answer those questions previously unanswered.
   e. All answers must be submitted during current calendar year.
   f. Answers will be evaluated by the course director and designated readers.
   g. No subsequent re-writes will be allowed.
   h. If student fails any questions, then student will be given oral examination by course director, designees, and student's advisor.
   i. If student fails oral examination, then the decision of the Steering Committee would most likely result in termination.
4. If the student received a **grade below a B- in the core course** related to failed section, then
   a. Student should be required to retake relevant course.
   b. If student achieves grade of B or better in the re-take then student passes exam.
c. If student receives a grade below B in retake, then the decision of the Steering Committee would most likely result in termination.

D. If student misses TWO or MORE questions in TWO or MORE sections of the examination.
   1. Student has failed the examination.
   2. The student and advisor will petition the Steering Committee for continuation in the GPN.
   3. The Steering Committee will consider the petition and decide a course of action based on performance in all classes, rotations and other work.
      a. Student may be required to undertake the courses of action listed in Section B above.
      b. Student may be terminated from the program.
   4. If the Steering Committee chooses the first action above, the Committee will decide the deadline for satisfactory completion.
Individual Development Plan (IDP)

Graduate Program in Neuroscience (GPN)

About the IDP
The individual development plan (IDP) is an ongoing exercise designed to help guide your thinking about short-term and long-term career plans and to help bring them into alignment as your progress through your training.

The IDP needs to be used in the second semester of the second year of the GPN program. This will be introduced during the Career Skills class. It should be revisited updated biannually before your annual meeting with the DGS in the Summer/Fall and your annual meeting with the Associate DGS in the Winter. It is very important that this is living/working document. Discuss this with your PhD thesis mentor before your biannual DGS/ADGS meetings. **A signed IDP form signed by both you and your thesis advisor(s) must be handed in to the DGS/ADGS at your biannual meetings.**

In addition, prior to approval of your Orals Preliminary Examination Committee by the Associate Dean of Graduate Education, you must meet with the Director of Professional Development Director in the Office of Professional Development in the Medical School.

PART I: info

Trainee: ___________________________________________  Department(s): _____
Advisor(s) and Department(s): __________________________
Starting Year: _______________________________________
Current Year: _______________________________________

Oral Examination Committee Chair (Department): __________________________
Oral Examination Committee Members (Department):
____________________________________________________
____________________________________________________
____________________________________________________

Thesis Committee Chair (Department): __________________________
Thesis Committee Members (Department): (must be approved by the DGS)
____________________________________________________
____________________________________________________
____________________________________________________

Dates of Your Thesis Committee Meetings (Must meet bi-annually):
PART II: Progress this year
Description of your Thesis Research (one paragraph):

Summarize your research progress over the past year. Describe:

- major activities;
- specific objectives;
- significant results, including major findings, developments, or conclusions (positive and negative);
- key outcomes or other achievements; Include any meeting abstracts or publications submitted, in press, or published.
- goals not met:
PART III: Intermediate and Long Term Goal Setting

The goal is to keep the long-term goals for your future career in mind as you progress through your PhD thesis research.

Intermediate goals

Long-term goals
**Part IV: Short-term goal setting**

**GOAL SETTING:** In a research career, you will find yourself needing skills in a variety of areas. While the specific instantiation of these areas may differ between careers, you will find that these skillsets translate between careers.

**RESEARCH GOALS**

- Am I managing my time for experiments, reading, and writing?
- Can I interpret my results and assimilate new knowledge to formulate good scientific questions?
- Am I thinking creatively, troubleshooting my own experiments, and developing my independence?
- Am I willing to learn new techniques and to take risks?
- Do I have a clear plan for completing my PhD thesis research?

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PUBLISHING AND WRITTEN COMMUNICATION GOALS

Written communication is a key to the scientific process.

- Have I developed a focused set of goals that will lead to publication of a paper?
- How far away am I from my next publication?
- How do I efficiently translate results into publication quality data?
- Do I have a workable pipeline to proceed from results to publication?
- How can I improve my writing?

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**CONFERENCES AND ORAL COMMUNICATION GOALS**

Oral communication (by poster or presentation) is fundamentally different from written communication.

- When will I next present my work and/or attend a scientific meeting?
- How well did my last presentation go?
- Am I presenting the right level of complexity to the right audiences?
- How can I improve my presentation skills?

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**TEACHING GOALS**
The ability to communicate new ideas to students or mentees is an important skillset.

- How was my experience when I served as a course TA?
- What mentorship opportunities are available? How can I increase my mentorship skills? Include peers and undergraduate trainees.
- What course(s) development or a syllabus have I written or participated in designing?
- What outreach and teaching beyond the University of Minnesota have I done?

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**FUNDING GOALS**

Writing grant proposals is fundamentally different from writing publications – proposals are about the future, while publications are about the past.

- What grants will I apply for, and when are the deadlines?
- What feedback have I received on my grant writing skills?
- How will I improve my grant writing skills?
- Can I write an original and competitive research proposal?

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**NETWORKING AND LEADERSHIP**

Any scientific career will take you into a community that requires the ability to interact with co-workers and to provide leadership to those co-workers.

- What organization and leadership roles have I taken on?
- Are there organizational opportunities that I can use to learn networking and leadership skills?
- What support relationships have I formed with mentors, peers, and administrative staff?
- How can I better access opportunities to network with individuals who will be a good fit for my future career aspirations?
- Who are key contacts, in addition to my thesis advisor, for helping me think through ideas?

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Student Name: 

Advisor: 

Meeting Date: 

Estimated completion date 

### ACADEMIC PROGRESS

**VOTE:** Overall progress (as voted on by committee, circle one):

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**RECOMMENDATIONS:**

### STUDENT STATEMENT

I have met with my committee and understand the recommendations suggested. I agree that the above completion date is a reasonable estimate.

Signature ___________________________ Date ___________________________
FACULTY STANDARDS OF CONDUCT
The Graduate Faculty of the Medical School hold themselves and all members of their community to the following Standards of Conduct as (adopted by the University Board of Regents 12 July 1996, amended 8 Dec 2006).

Act Ethically and with Integrity. Ethical conduct is a fundamental expectation for every community member. In practicing and modeling ethical conduct, community members are expected to:
- act according to the highest ethical and professional standards of conduct;
- be personally accountable for individual actions;
- fulfill obligations owed to students, advisees, and colleagues;
- conscientiously meet University responsibilities; and
- communicate ethical standards of conduct through instruction and example.

Be Fair and Respectful to Others.
The University is committed to tolerance, diversity, and respect for differences. When dealing with others, community members are expected to:
- be respectful, fair, and civil;
- speak candidly and truthfully;
- avoid all forms of harassment, illegal discrimination, threats, or violence;
- provide equal access to programs, facilities, and employment; and
- promote conflict resolution.

Manage Responsibly.
The University entrusts community members who supervise or instruct employees or students with significant responsibility. Managers, supervisors, instructors, and advisors are expected to:
- ensure access to and delivery of proper training and guidance on applicable workplace and educational rules, policies, and procedures, including this Code;
- ensure compliance with applicable laws, policies, and workplace rules;
- review performance conscientiously and impartially;
- foster intellectual growth and professional development; and
- promote a healthy, innovative, and productive atmosphere that encourages dialogue and is responsive to concerns.

Protect and Preserve University Resources.
The University is dedicated to responsible stewardship. Community members are expected to:
- use University property, equipment, finances, materials, electronic and other systems, and other resources only for legitimate University purposes;
- prevent waste and abuse;
- promote efficient operations;
- follow sound financial practices, including accurate financial reporting, processes to protect assets, and responsible fiscal management and internal controls; and
- engage in appropriate accounting and monitoring.

Promote a Culture of Compliance. The University is committed to meeting legal requirements and to fostering a culture of ethics and compliance.
(a) Expectations
Community members are expected to:
- learn and follow the laws, regulations, contracts, and University policies and procedures applicable to University activities;
- be proactive to prevent and detect any compliance violations;
- report suspected violations to supervisors or other University officials; and
- ensure that reports of violations within their area of responsibility are properly resolved, including disclosure to sponsors or other state or federal authorities as appropriate.
(b) Prohibition
Community members are prohibited from retaliating against another community member for reporting a suspected compliance violation.

**Preserve Academic Freedom and Meet Academic Responsibilities.**

Academic freedom is essential to achieving the University’s mission. Community members are expected to:

- promote academic freedom, including the freedom to discuss all relevant matters in the classroom, to explore all avenues of scholarship, research, and creative expression, and to speak or write as a public citizen without institutional restraint or discipline; and
- meet academic responsibilities, which means to seek and state the truth; to develop and maintain scholarly competence; to foster and defend intellectual honesty and freedom of inquiry and instruction; to respect those with differing views; to submit knowledge and claims to peer review; to work together to foster education of students; and to acknowledge when an individual is not speaking for the institution

**Ethically Conduct Teaching and Research.**

University researchers have an ethical obligation to the University and to the larger global community as they seek knowledge and understanding.

Community members are expected to:

- propose, conduct, and report research with integrity and honesty;
- protect people and humanely treat animals involved in research or teaching;
- learn, follow, and demonstrate accountability for meeting the requirements of sponsors, regulatory bodies, and other applicable entities;
- faithfully transmit research findings;
- protect rights to individual and University intellectual property;
- ensure originality of work, provide credit for the ideas of others upon which their work is built, and be responsible for the accuracy and fairness of information published; and
- fairly assign authorship credit on the basis of an appropriate array of significant intellectual contributions, including: conception, design, and performance; analysis and interpretation; and manuscript preparation and critical editing for intellectual content

**Avoid Conflicts of Interest and Commitment.**

Community members have an obligation to be objective and impartial in making decisions on behalf of the University. To ensure this objectivity, community members are expected to:

- avoid actual individual or institutional conflicts of interest;
- disclose potential conflicts of interest and adhere to any management plans created to eliminate any conflicts of interest; and
- ensure personal relationships do not interfere with objective judgment in decisions affecting University employment or the academic progress of a community member.

**Carefully Manage Public, Private, and Confidential Information.**

Community members are the creators and custodians of many types of information. The public right to access and the individual’s right to privacy are both governed by laws and University policies. To meet these responsibilities, community members are expected to:

- learn and follow laws and University policies and agreements regarding access, use, protection, disclosure, retention, and disposal of public, private, and confidential information;
- follow document preservation and retention guidelines; and
- maintain data security using electronic and physical safeguards.

**Promote Health and Safety in the Workplace.**

Community members have a shared responsibility to ensure a safe, secure, and healthy environment for all University students, faculty, staff, volunteers, and visitors. Community members are expected to:

- follow safe workplace practices, including participating in applicable education sessions, using appropriate personal safety equipment, and reporting accidents, injuries, and unsafe situations;
- maintain security, including securing University assets and facilities;
- report suspicious activities; and
•protect the environment, including carefully handling hazardous waste and other potentially harmful agents, materials, or conditions.

**DISCIPLINARY OFFENSES**

A member of our Graduate Faculty community found to have committed any of the following acts of misconduct is subject to appropriate disciplinary action under this policy:

**Scholastic Dishonesty.** Scholastic dishonesty would include, but is not limited to the following behaviors: fabrication, falsification, plagiarism, fraud, improper grading, favoritism, discrimination, intellectual dishonesty, sabotage, retaliation, etc.

**Harm to Person.** Our education, research, and training missions cannot be achieved unless we create and maintain a community that ‘is free from violence, threats, and intimidation; that is respectful of the rights, opportunities, and welfare of students, faculty, staff, and guests of the University; and that does not threaten the physical or mental health or safety of members of the University community’ (Board of Regents Policy “Student Conduct Code” Adopted 10 July 1970, most recently amended 13 October 2017 http://regents.umn.edu/sites/regents.umn.edu/files/policies/Student_Conduct_Code.pdf)

Consequently, members of our Graduate Faculty will refrain from actions that endanger or threatens to endanger the physical and/or mental health, safety, or welfare of any member of our community. Examples of such conduct would include, but is not limited to: intimidation, threats, bullying, unduly harsh criticism, offensive language, abuse of power, assaultive behavior, etc. **Sexual Misconduct** represents a specific type of harm to person that is defined in University Administrative Policy: Sexual Harassment, Sexual Assault, Stalking and Relationship Violence and the related administrative policy (https://policy.umn.edu/hr/sexharassassault).

**Neglect.** The Board of Regents Code of Conduct explicitly highlights the duty all members of the University community have to ‘manage responsibly’, however in agreeing to advise a doctoral or masters student, or post-doctoral trainee, members of the graduate faculty accept the additional responsibility to foster the academic, intellectual and professional development of their trainees. Failure to discharge this responsibility has the potential to y impact adversely the professional, intellectual, and personal development of these individuals, and is impermissible. Specific examples of failure in this area would include, but not be limited to the following: failure to provide accurate, timely and appropriate feedback on trainee performance, failure to provide resources required for trainee success (i.e. financial support, access to required instrumentation, software, etc.), failure to provide required progress reports, to sign official UMN forms, failure to review, in a timely manner, manuscripts for publication, thesis, or other required academic milestone documents.

**SECTION V. SANCTIONS.**

Graduate faculty found responsible for disciplinary offenses under this policy are subject to sanctions. Factors to consider in determining appropriate sanctions include: the nature of the offense, the severity of the offense, the culpability of the student or student group, the impact on other students or members of the University community, and the opportunity for student development.

**Warning.** A Graduate Faculty member found to have violated this policy may receive a written notice of Violation of Policy. Such a notice is delivered to the faculty member as well as the Director of Graduate Study of all Medical School Graduate Programs of which this individual is a member. Typically, a Graduate Faculty member will be advised on steps necessary to resolve a policy violation, and/or to avoid further violations of policy.

**Probation.** A Graduate Faculty member found to have violated this policy may receive the sanction of Probation (imposed for a defined period of time). Probation notice will be accompanied by a description of the probability of additional, more severe sanction if the individual commits further violations of this policy during the period of the probation. Graduate Faculty members placed on probation may be required temporarily to relinquish privileges including the right to advise students and will likely be required to take action to resolve a specific policy violation or violations.
Suspension of/loss of Graduate Faculty Membership. Graduate Faculty members found to have violated this policy may be removed (temporarily or permanently) from the ranks of all Medical School Graduate Programs of which they are members. Graduate Faculty members may further be required to take action to resolve a specific policy violation(s).

HEARING OF APPEALS OF SANCTION

Hearing Process: Allegations that a Graduate Faculty member has violated this policy will be forwarded to the Associate Dean for Graduate Education, and if appropriate, to the Basic Science Graduate Council that would be charged with making a finding, based on a preponderance of evidence. The Graduate Faculty Member will be able to review any information that has been presented to the Associate Dean for Graduate Education (ADGE), and will be afforded the opportunity to provide any additional information that they deem relevant.

The process will progress as follows:
(a) The Graduate Faculty member is informed of the allegation of violation of policy, and provided access to relevant information related to this allegation.
(b) The Graduate Faculty member is invited to an informal meeting to discuss the process and to share relevant information with the ADGE. Graduate Faculty members may request the Basic Science Graduate Council selects an individual from among their rank to serve in place of the ADGE, if they feel that individual would not be able to impartially consider their case. In such an instance, the selection of a replacement by the Basic Science Graduate Council would not be subject to further appeal.
(c) The ADGE (or their substitute) will offer the Graduate Faculty member an informal resolution, discussing the relevant policy or policies alleged to have been violated, and an outline of sanctions that could potentially be imposed.
(d) In the event this informal resolution is not acceptable to the Graduate Faculty member, that individual may request for a formal hearing in which the Basic Science Graduate Council will determine responsibility and potential sanctions. (The ADGE will not participate in this process if they were party to the informal resolution process outlined above. In this case, the Council will elect a chair who for the purposes of this hearing will replace the ADGE as presiding officer.)
(e) If the Graduate Faculty Member does not accept the formal resolution offered by the Basic Science Graduate Council, a request for an appeal can be made to the Vice Provost and Dean for Graduate Education.
Graduate Program in Neuroscience (GPN)  
**MD-PhD Milestones**

These milestones do not include the milestones from the MD program. MD/PhD students are expected to graduate in year PhD3 or PhD4.

**NEVER** register for any credits during the summer without prior discussion with the program coordinator. Registration during summer is not required to maintain health insurance coverage.

A. **M1**

1. **General steps**
   - Year 1 medical school curriculum.
   - 1st rotation: summer prior to the start of the year 1 medical school.

2. **Deadlines**
   - Meet with the DGS to discuss rotations and progress.
   - Join the GPN for the annual retreat.

B. **M2**

1. **General steps**
   - Year 2 medical school curriculum.
   - 2nd rotation: summer between Year 1 and Year 2.

2. **Deadlines**
   - Meet with the DGS to discuss rotations and progress.
   - Join the GPN for the annual retreat.

C. **Summer between M2 and PhD1**

1. **General steps**
   - Confirm an advisor.
   - Clinical rotation (or not).
   - Year 2 medical boards.
   - Itasca neurobiology course.

2. **Register**
   a) **Summer session**
      - NSc 5551: Cell & Molecular Neurobiology Lab at Itasca (4 cr)
3. **Satisfactory progress**
   - Finding an advisor with whom to do your thesis project.

D. **Year PhD1**

1. **General steps**
   - Core coursework
   - **Begin thesis research**
   - Written preliminary exam
   - Begin writing Individual Development Plan (IDP)

2. **Satisfactory progress**
   - Making adequate research progress.
   - Maintaining a 3.0 grade point average in all classes.
   - Performing satisfactorily on the written preliminary exam.

3. **Register**
   a) **Fall semester**
      - Begin taking thesis credits.
      - Nsc 5461: Cellular and Molecular Neuroscience (4 cr)
      - Nsc 5561: Systems Neuroscience (4 cr)
      - Nsc 8321: Career Skills (0.5 cr)
   b) **Spring semester**
      - Nsc 5661: Behavioral Neuroscience (3 cr)
      - Nsc 8211: Developmental Neuroscience (3 cr)
      - Nsc 8321: Career Skills (0.5 cr)

4. **Deadlines**
   - Meet with the DGS/ADGS twice a year.
   - Pass Written Preliminary Examination

E. **Year PhD2**

1. **General steps**
   - Make progress on thesis research.
• Present a half-hour colloquium as part of the GPN seminar series.
• Present a poster at the annual retreat.
• Complete TA requirement.
• A good goal is to write a paper this year.
• Consider submitting your oral prelim proposal as an NRSA F30 application.

2. Satisfactory progress
• Maintaining a 3.0 grade point average in all classes.
• Submit written preliminary exam rewrites, if needed, before 1/Jan of year 2.
• Have formed a thesis committee in discussion with the DGS.
• Passing the Oral Preliminary Exam.
• Making adequate research progress.

3. Register
• Nsc 8111: Quantitative Neuroscience (4 cr) (meets MSTP requirement for statistics course) – Fall
• Complete 3rd semester of NSC 8321 (Career Skills) (0.5 cr) – Spring
• Register for thesis credits NSC 8888. (Up to 14 credits total per semester)

4. Deadlines and specific steps
• File annual report form and meet with DGS (form)
• File Degree Program with Graduate School (form)
• File Thesis Committee Selection with Graduate School (link)
• Research proposal for oral exam is due January 2nd.
• Oral preliminary must be scheduled at least 1 week before the oral exam. (link)
• Oral preliminary exam must be completed before January 20th.
• Meet twice annually with your thesis committee. The meeting form must be filled out and filed with the program coordinator. (form)

F. Year PhD3 and beyond
1. General steps
• Complete thesis credit requirement.
• Make progress on thesis research.
• Present a half-hour colloquium as part of the GPN seminar series.
• Present a poster at the annual retreat.
• A good goal is to write a paper this year.
• Consider submitting an NRSA application if it has not been funded already.
2. **Satisfactory progress**
   - Making adequate research progress.

3. **Register**
   - Complete thesis credit requirement – Fall. (At least 24 accumulated credits)
   - Register for 1 Post Thesis credit (NSC 8444) – Spring.

4. **Deadlines and specific steps**
   - File annual report form; Meet with DGS/ADGS twice a year. (form)
   - Meet twice annually with your thesis committee. The meeting form must be filled out and filed with the program coordinator after one of these thesis committee meetings. (form)

5. **When you are ready to graduate...**
   - Make sure that your advisor and committee also thinks you are ready. All committee members must submit a form to the Graduate School that they have read the thesis and believe it is ready to be defended.
   - Your dissertation needs to be turned in to your committee **one month** before you can defend.
   - The readers on your committee have **two weeks** to read the dissertation and approve it for defense.
   - If your dissertation is approved for defense, you may schedule the defense any time at least **two weeks** after approval. It should be announced to the GPN as soon as possible.

Note: In practice, it is a good idea to know what date and time work for your committee. Scheduling the availability of four or more faculty can be difficult. **It is preferred for thesis defenses to occur during the Wednesday noon seminars, but this is not a requirement.**
Graduate Program in Neuroscience (GPN)
PhD Milestones

NEVER register for any credits during the summer without prior discussion with the program coordinator. Registration during summer is not required to maintain health insurance coverage.

Students are expected to graduate sometime during year 5.

A. **Year 1**

1. **General steps**
   - Itasca neurobiology course
   - Core coursework
   - Rotations
   - Written preliminary exam

2. **Satisfactory progress**
   - Finding an advisor with whom to do your thesis project.
   - Maintaining a 3.0 grade point average in all core classes.
   - Performing satisfactorily on the written preliminary exam.

3. **Register**
   a) **Summer session**
      - NSc 5551: Cell & Molecular Neurobiology Lab at Itasca (4 cr)
   b) **Fall semester**
      - Nsc 5461: Cellular and Molecular Neuroscience (4 cr)
      - Nsc 5561: Systems Neuroscience (4 cr)
      - Nsc 8334: Lab Neuroscience (1 cr)
      - Nsc 8321: Career Skills (0.5 cr)
   c) **Spring semester**
      - Nsc 5661: Behavioral Neuroscience (3 cr)
      - Nsc 8211: Developmental Neuroscience (3 cr)
      - Nsc 8334: Lab Neuroscience (1 cr)
      - Nsc 8321: Career Skills (0.5 cr)
4. **Deadlines**
   - Meet with the DGS/ADGS two times a year

B. **Year 2**
   1. **General steps**
      - Complete coursework inside the GPN.
      - Begin taking thesis credits.
      - Complete TA requirement.
      - Begin thesis research.
      - Present a poster at the annual retreat.
      - Begin writing Individual Development Plan (IDP)

   2. **Satisfactory progress**
      - Maintaining a 3.0 grade point average in all classes.
      - Submit written preliminary exam rewrites, if needed, before 1/Jan of year 2.
      - Making adequate research progress.

3. **Register**
   - Complete 3rd semester of NSC 8321 (Career Skills) - Fall.
   - Register for thesis credits NSC 8888. (Up to 14 credits total per semester)

4. **Deadlines and specific steps**
   - File annual report form and meet with DGS/ADGS twice a year (form)

C. **Year 3**
   1. **General steps**
      - Complete thesis credit requirement.
      - Make progress on thesis research.
      - Present a half-hour colloquium as part of the GPN seminar series.
      - Present a poster at the annual retreat.
      - A good goal is to write a paper this year.
      - Prepare your Oral Preliminary Examination as an NRSA application.

   2. **Satisfactory progress**
      - Maintaining a 3.0 grade point average in all classes.
      - Making adequate research progress.
      - Passing the oral preliminary exam.
      - Have formed a thesis committee.
3. **Register**
   - Complete thesis credit requirement. (At least 24 credits total, over years 2 & 3)
   - Once you have completed your classwork and have passed your oral prelim, register for 1 Post Thesis credit (NSC 8444).

4. **Deadlines and specific steps**
   - File annual report form and meet with DGS/ADGS (form)
   - File Degree Program with Graduate School (link)
   - File Thesis Committee Selection with Graduate School (link)
   - Research proposal for oral exam is due 1/Sept.
   - Oral preliminary must be scheduled at least 1 week before the oral exam. (link)
   - Oral preliminary exam must be completed before 1/December.

D. **Year 4 and beyond**

1. **General steps**
   - Make progress on thesis research.
   - Present a half-hour colloquium as part of the GPN seminar series.
   - Present a poster at the annual retreat.
   - Students are encouraged to present a poster at Biomedical Science Research Recognition Day
   - A good goal is to write a paper this year.
   - Consider submitting an NRSA application if it hasn’t been funded already.

2. **Satisfactory progress**
   - Making adequate research progress.

3. **Register**
   - Register for 1 Post Thesis credit (NSC 8444)

4. **Deadlines and specific steps**
   - File annual report form and meet with DGS/ADGS (form)
   - Meet twice annually with your thesis committee. The meeting form must be filled out and filed with the program coordinator. (form)

5. **When you are ready to graduate...**
   - Make sure that your advisor and committee also thinks you are ready.
   - Your dissertation needs to be turned in to your committee **one month** before you can defend.
   - The readers on your committee will then have **two weeks** to read the dissertation and approve it for defense.
- If your dissertation is approved for defense, you may schedule the defense any time at least two weeks after approval. It should be announced to the GPN as soon as possible.

Note: In practice, it is a good idea to know what date and time work for your committee. Scheduling the availability of four or more faculty can be difficult. It is preferred for thesis defenses to occur during the Wednesday noon seminars, but this is not a requirement.